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# Towards automated mining of chemical structures in Chinese Patents

Daniel Bonniot de Ruisselet  
ChemAxon

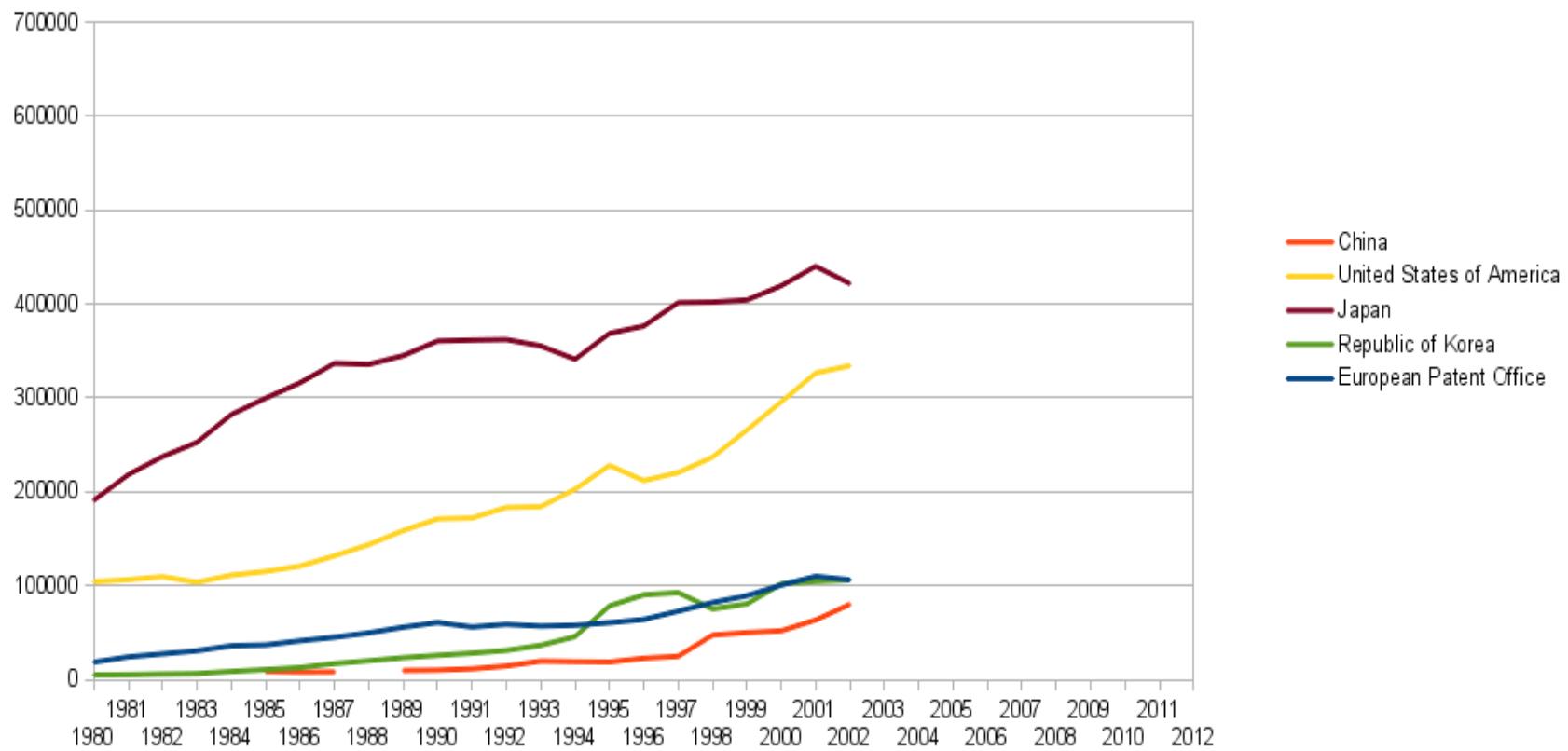
ICIC 2013, Vienna  
October 16<sup>th</sup> 2013



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## Patent filings at IP5 Offices 1980-2002

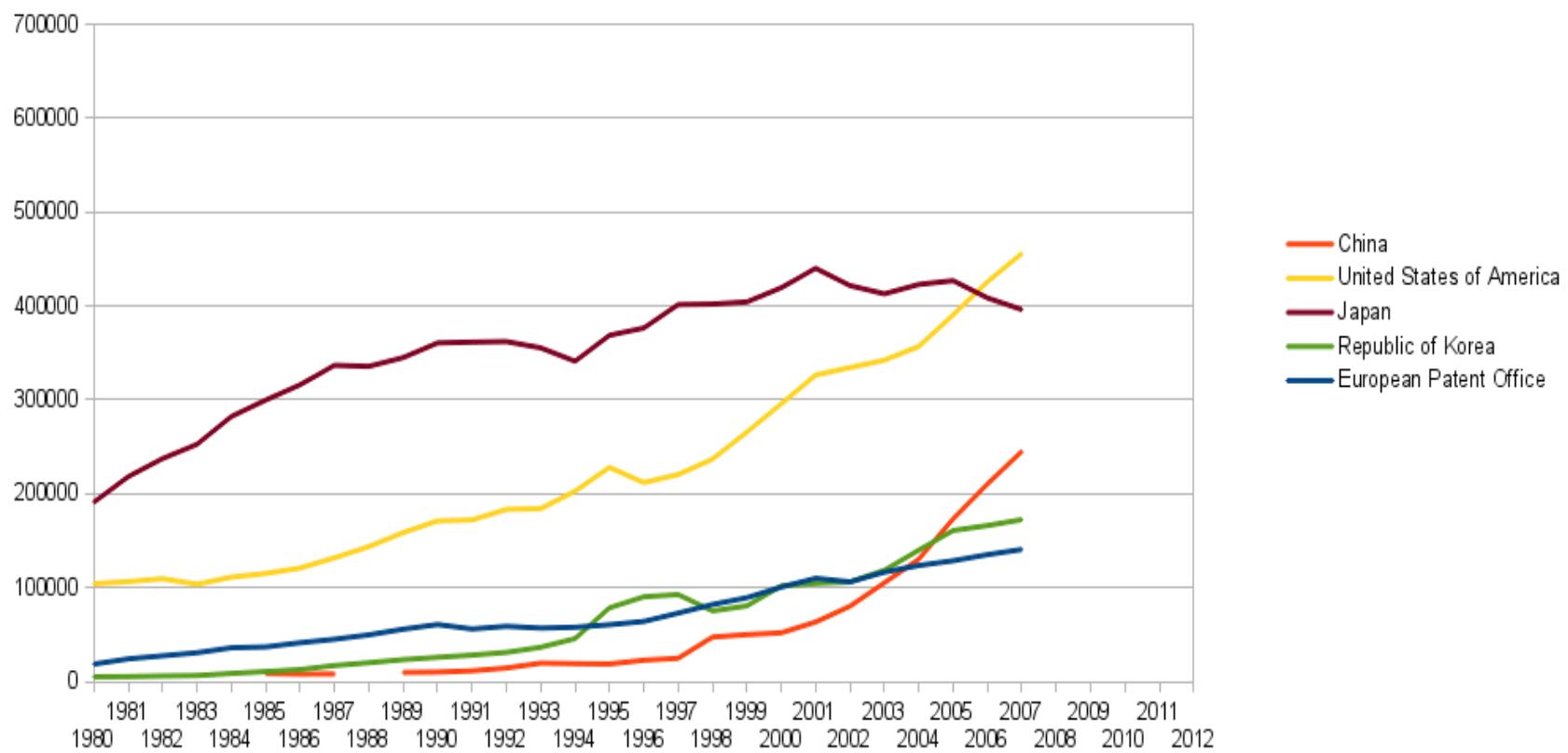
(source: WIPO)



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## Patent filings at IP5 Offices 1980-2007

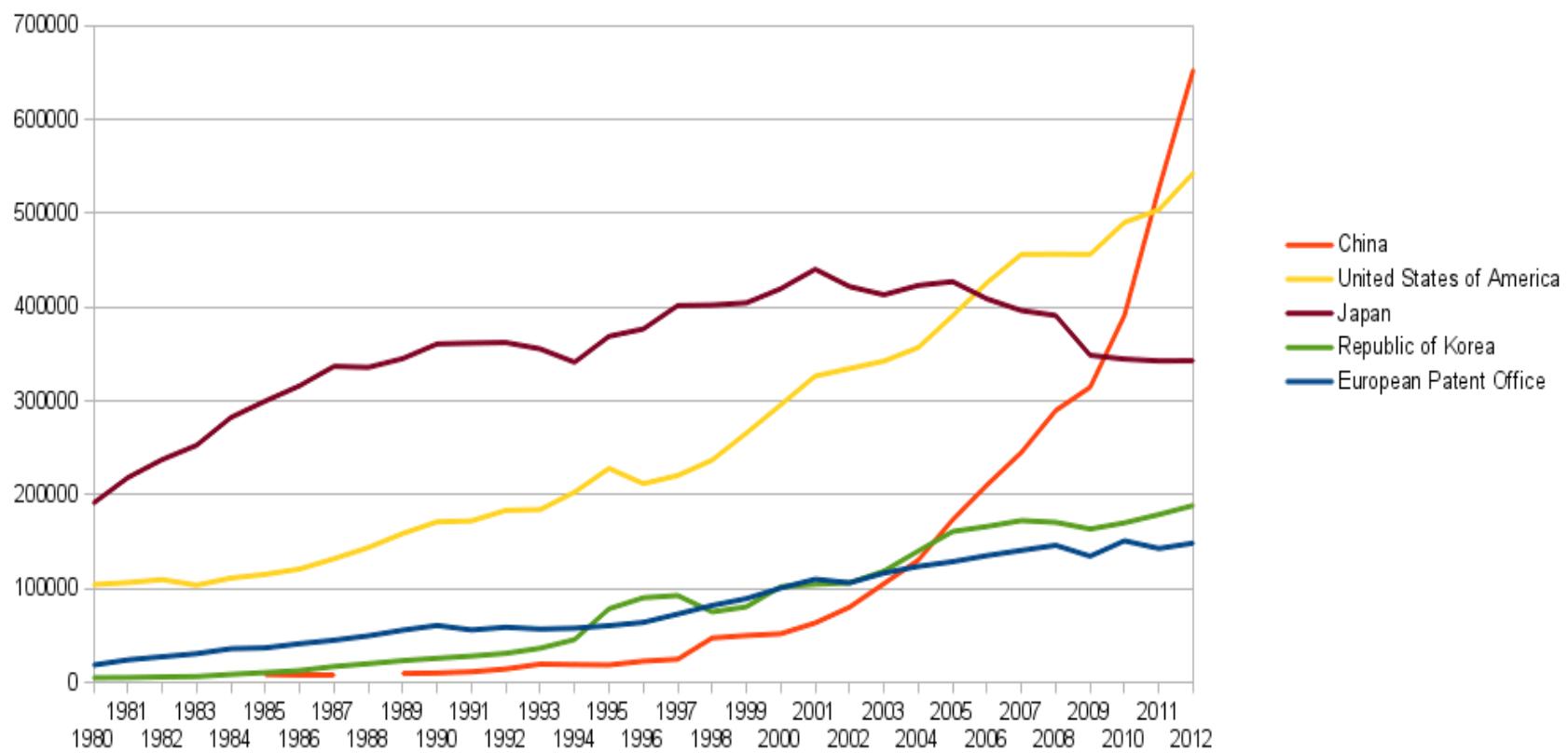
(source: WIPO)



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## Patent filings at IP5 Offices 1980-2012

(sources: WIPO, IP5)



# Why Chinese patents matter

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- Volume, exploding...
- Increasingly innovative
- Potential infringement, lawsuits
  - Apple (2008, 2012, 2013), Schneider Electric, Samsung, ...
- Hard to access because of language



# Why chemical mining matters

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- Find interesting patent(s) using text search
  - Each patent can contain 100s of chemical names
  - Convert them automatically to structures
  - Enables chemical calculations
- Find interesting patent(s) using chemical structure search
  - Requires building a chemical database index
- Track structures across multiple patents
  - Including multiple languages
  - Searching for prior art, infringement, ...
  - Chemical similarity search
- ...



# Putting it together

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**Chinese patents matter**

&

**chemical mining matters**



**Chemical mining of chinese  
patents matters**

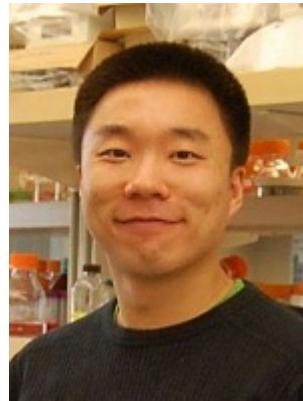


- Cheminformatics, since 1998
  - All of the top 15 global pharma are customers
- 
- Chemical database: indexing and searching
  - English Name to Structure
  - Document to Structure
  - Missing piece: Chinese Name to Structure



# Chinese Name to Structure

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邓巍 (Wei Deng, a.k.a. David)

Builds on english name to structure

Specific dictionaries

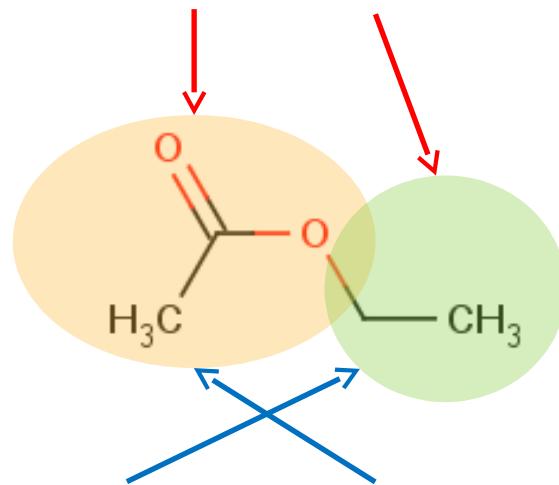
Changes in algorithms...



# The Challenges

1. Chinese texts have no spaces
2. Ester & Salt

乙酸乙酯



Ethyl Acetate

# The Challenges

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## 3. English: name alterations

丁烷 → buta + ane → butane

## 4. Chinese: many Characters have different meanings

盐 = salt

酸 = acid

盐酸 = hydrochloric acid

# OCR Error correction

3-(笨基)丙酸

苯 = benzene

苯基 = phenyl

丙酸 = propionic acid

Google SIGN IN

Translate From: Chinese ▾ To: English ▾ Translate

Chinese English Spanish Detect language English Chinese (Simplified) Chinese (Traditional)

3-(笨基)丙酸

拼 X

Ä

ChemAxon

# OCR Error correction

3-(笨基)丙酸

苯 = benzene

苯基 = phenyl

丙酸 = propionic acid



SIGN IN

Translate

From: Chinese ▾



To: English ▾

Translate



Chinese

English

Spanish

Detect language

English

Chinese (Simplified)

Chinese (Traditional)

3-(笨基)丙酸

3 - (stupid yl) propionic acid

拼 ▾



# Chinese Document to Structure

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- Additional challenge: no spaces
- 如式 I 所示的 {5-[2-(4- 正辛基苯基 ) 乙基 ]-2 , 2- 二甲基 -1 , 3- 二氧六环 -5- 基 } 氨基甲酸叔丁酯是合成芬戈莫德及其衍生物的重要中间体。



# Chinese Document to Structure

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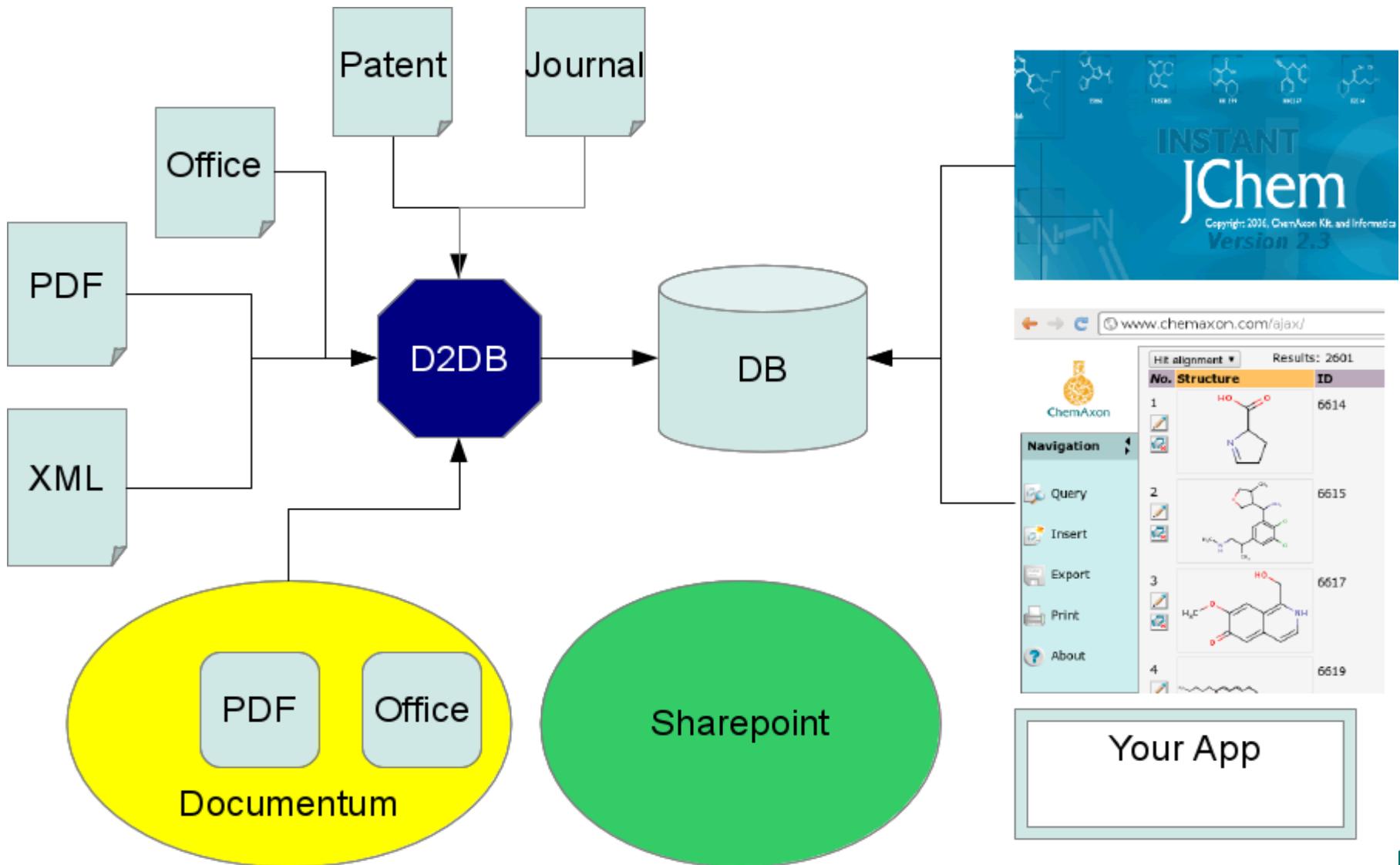
# Chinese Document to Structure

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- XML Markup
  - Patent metadata
  - Encoding of characters
  - Tags (e.g. <p>)
- Document annotation



# Document to Database



# Document to Database

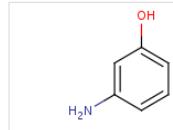
D2DB Search - Chromium

<https://d2db.chemaxon.com/uspto/>;jsessionid=D7A758FEF59A07D43FE5BD0A4C334434?0

D2DB Search

**Structure**

Search type  
SUBSTRUCTURE



Formula \_\_\_\_\_

Molecular Weight Range \_\_\_\_\_ - \_\_\_\_\_

**Options**

**Document Properties**

Title \_\_\_\_\_ Assignees \_\_\_\_\_

Patent Id \_\_\_\_\_ Inventors \_\_\_\_\_

Submit Clear

This screenshot shows the D2DB Search interface on a Chromium browser. The search type is set to 'SUBSTRUCTURE'. A chemical structure of a substituted benzene ring is entered, featuring an amino group (H<sub>2</sub>N) at the para position and a hydroxyl group (OH) at the other end. Below the structure, there are fields for 'Formula' and 'Molecular Weight Range'. The 'Document Properties' section includes fields for 'Title' and 'Assignees', both currently empty. There are also fields for 'Patent Id' and 'Inventors', which are also empty. At the bottom left are 'Submit' and 'Clear' buttons.

# Document to Database

D2DB Search - Chromium

<https://d2db.chemaxon.com/uspto/>;jsessionid=D7A758FEF59A07D43FE5BD0A4C33443470

D2DB Search

**Structure**

Search type: SUBSTRUCTURE

Formula:

Molecular Weight Range:  -

**Options**

**Document Properties**

Title:  Assignees:

Patent Id:  Inventors:

Submit Clear

**Results**

**Document Properties**

Molecular Formula: C14H17NO3  
SMILES: OC(=O)COC1=CC(=CC=C1)N(CC=C)CC=C  
Molecular Weight: 247.2897

**Documents**

Patent Id	Title	Assignees	IPC	Context
US-08088182-B2-20120103	Azo dyes, a process for the Huntsman Interna C09B 29/036 is prepared by addition of 5.3 g of 3-diallylaminophen			
US-08088182-B2-20120103	Azo dyes, a process for the Huntsman Interna C09B 29/036 (c) 3-Diallylaminophenoxyacetic acid methylester			
US-08088182-B2-20120103	Azo dyes, a process for the Huntsman Interna C09B 29/036 of 4.6 g of sulfuric acid and 4.5 g of 3-diallylaminophe			

# Document to Database

D2DB Search - Chromium

D2DB Search https://d2db.chemaxon.com/uspto/jsessionid=D7A758FEF59A07D43FE5BD0A4C33443470

D2DB Search

Structure

Search type SUBSTRUCTURE

Formula

Molecular Weight Range

Options

Document Properties

Title Assignees

Patent Id Inventors

Submit Clear

本发明活性化合物的制备和应用可见下述实施例。  
-->制备实施例

实施例1

(万法(a))

将0.76g(2.9mmol)5-乙氧基-4-甲基-2-苯氨基环基-2,4-二氢-3H-1,2,4-三唑-3-酮溶于40mL乙腈中，在室温(约25℃)搅拌下，以每次少量的方式与0.75g(3.2mmol)4-甲氨基环基羧酸-3-碘酰胺和0.49g(3.2mmol)1,8-二氯杂二环[5.4.1]癸-7-烯(DBU)混合。将该反应混合物在室温搅拌12小时后浓缩。将残余物置于二氯甲烷中，依次用1N盐酸和水洗涤，用硫酸干燥，并过滤。将滤液在水泵真空下浓缩，将残余物用乙醇重结晶，通过抽滤分离出所得结晶产物。

获得了0.70g(理论产率的60%)4-[[[(3-乙氧基-4,5-二氢-4-甲基-5-氧化代-1H-1,2,4-三唑-1基)氨基]羧酰基]羧酰基]-3-甲酸甲酯(别名5-乙氧基-4-甲基-2-[(4-甲氨基环基-2,4-二氢-3H-1,2,4-三唑-3-酮)氨基]氨基环基)-2,4-二氢-3H-1,2,4-三唑-3-酮，熔点为163℃。

5-乙氧基-4-甲基-2-苯氨基环基-2,4-二氢-3H-1,2,4-三唑-3-酮

The screenshot shows the D2DB Search interface in a Chromium browser window. The search type is set to 'SUBSTRUCTURE' and the user has entered a query for a substituted phenyl ring (a benzene ring with an amino group at position 1 and a hydroxyl group at position 4). Three chemical structures are displayed as search results:

- A long chain molecule consisting of a central phenyl ring with two amino groups at positions 1 and 4, connected via ether linkages to two long hydrocarbon chains.
- A substituted benzene ring with a methyl group at position 1, a hydroxyl group at position 4, and an amino group at position 2.
- A substituted benzene ring with a methyl group at position 1, a hydroxyl group at position 4, and a hydrazinyl group (HN) at position 2.

Below the search results, there is a large text box containing Chinese text describing the preparation and application of active compounds, followed by a section titled 'Implementation Example' with a sub-section '(Method (a))'. A detailed reaction scheme is described, involving the reaction of a substituted phenyl ring with 4-aminocarbonyl-3-iodoanhydride and 1,8-dichlorobicyclo[5.4.1]oct-7-ene (DBU) in acetonitrile, followed by workup and crystallization. A chemical structure of the final product, 5-(4-aminocarbonyl-2,4-dihydro-3H-1,2,4-triazole-3-carboxylic acid methyl ester), is shown in a callout box.

# Validation 1: Chinese name to structure

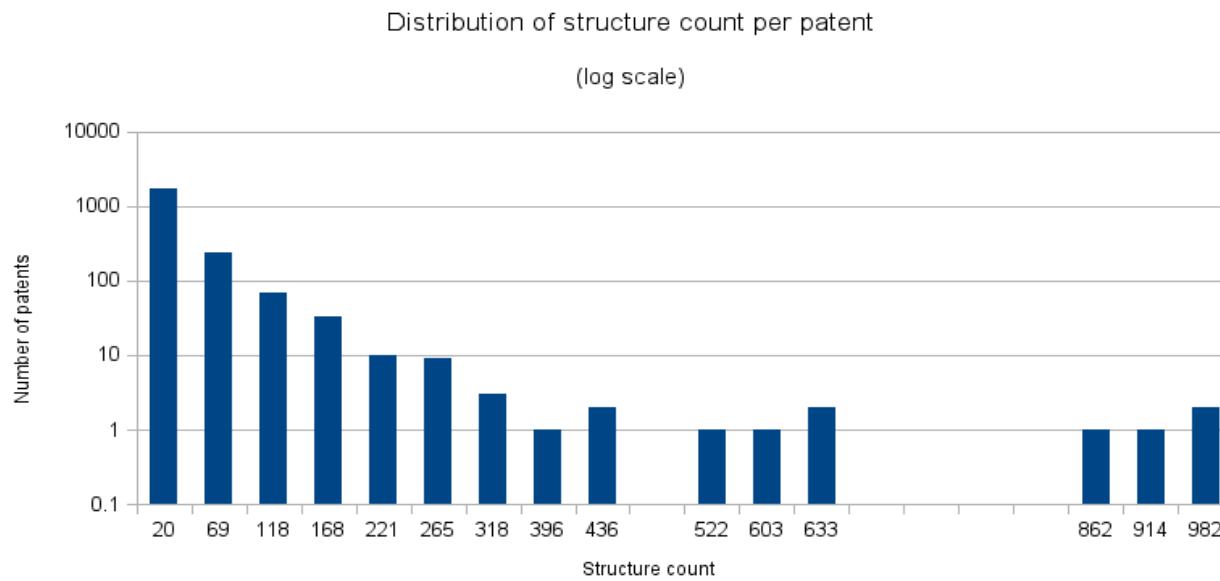
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- Test set: 38,600 Chinese names + CAS number
- Contains unusual, incorrect, ambiguous names, radicals, inorganic salts,
- Conversion rate = 59 – 79 %
- Accuracy = 91%



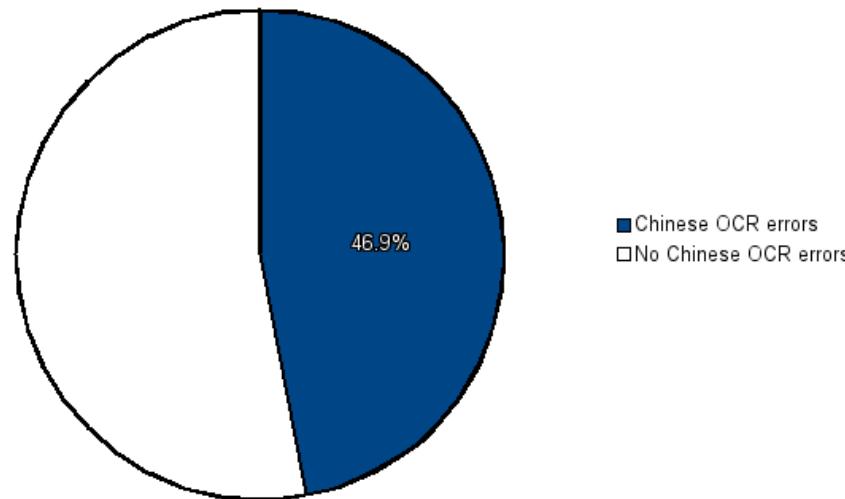
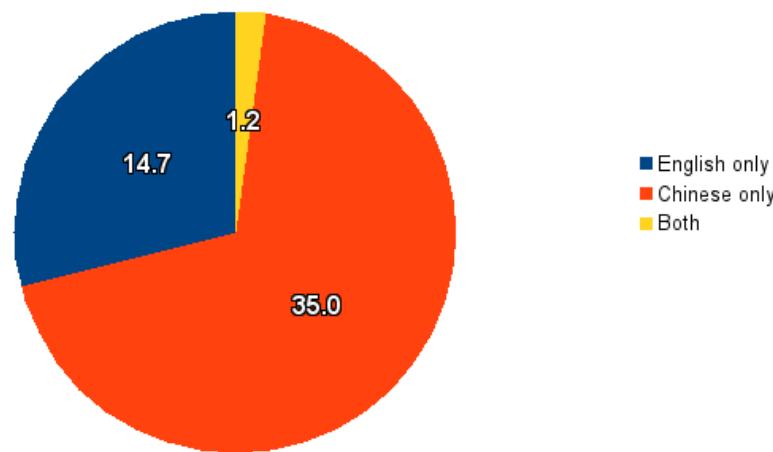
# Validation 2: Chinese patents

- 54K chinese patents with automated english translation
- Filter: structures with at least 20 heavy atoms, and patents with at least 20 structures
- Remains: 2108 patents



# Validation 2: Chinese patents

Origin of unique chemical structures



# Conclusions

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- Patent volume in chinese is booming
- It is important to mine & monitor it
- Automated solutions are needed, but hard
- General purpose auto translation is not enough
- Chinese N2S already gives better results
- ChemAxon can build solutions for specific workflows
- More collaboration with patent providers is needed to keep improving quality and solutions

谢谢 !



# Extra information

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谢谢！

# Automatic OCR Error Correction

(2R)-2-rnethylsulfany1-3-hydr0xybutaned0ate



(2R)-2-methylsulfanyl-3-hydroxybutanedioate

Ar-benzyl-Ar-[3-(IH-tetrazol-5-yl)phenyl]propanamide



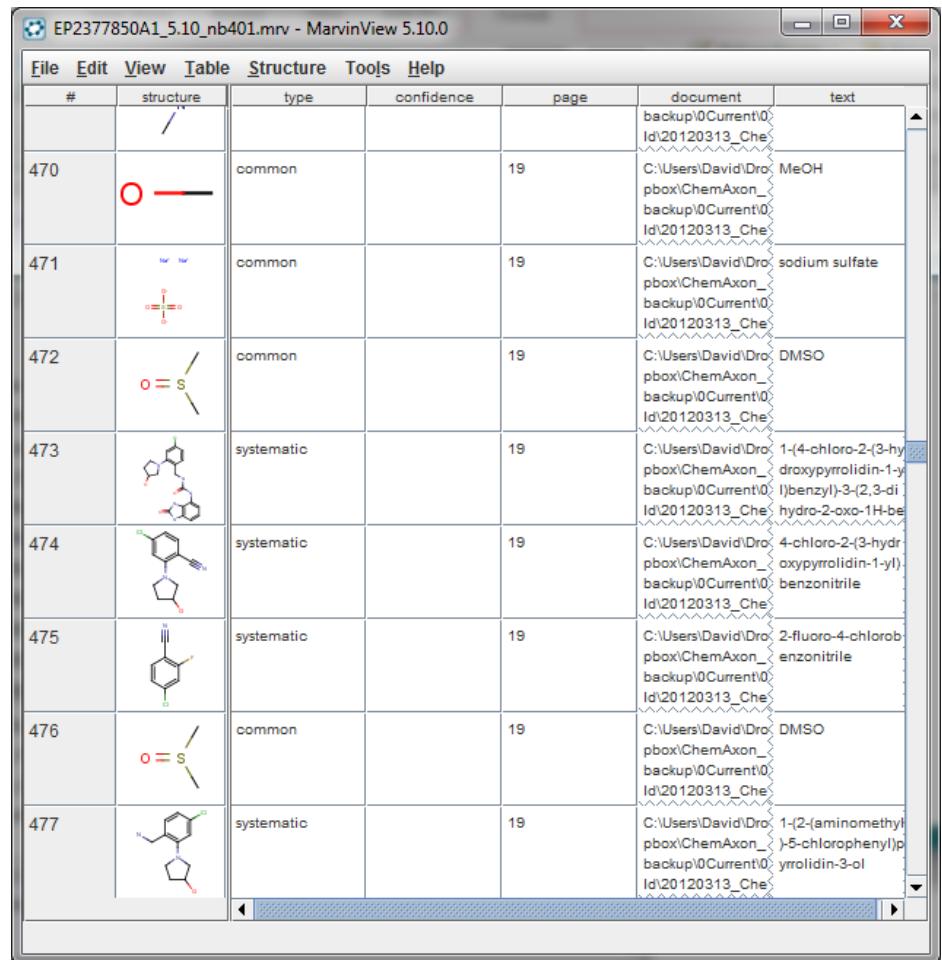
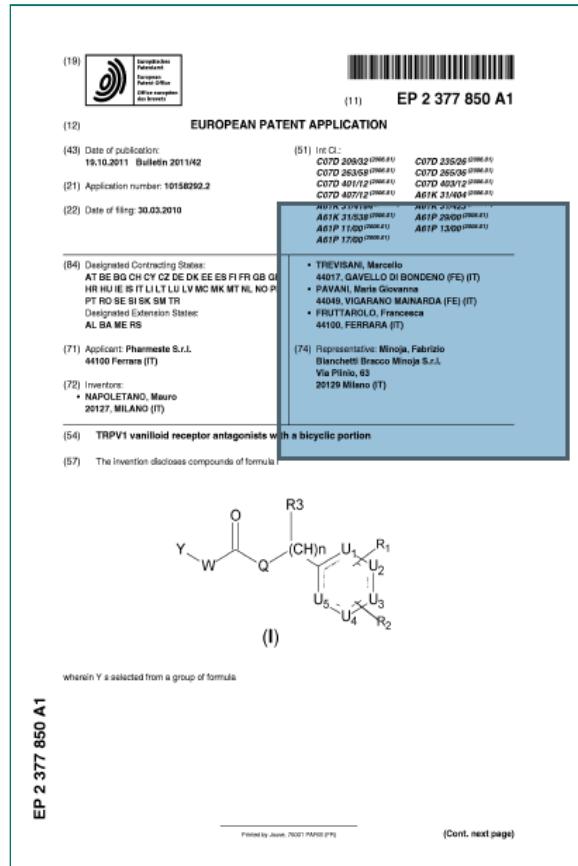
N-benzyl-N-[3-(1H-tetrazol-5-yl)phenyl]propanamide

我们日前止在研究开发中文化字名称的 OCR 自动纠错工功能



我们目前正在研究开发中文化学名称的 OCR 自动纠错功能

# From Document to Structures



Non-searchable patent (50 pages)

Structure (text + image) + location

# ChemAxon's “Document to Structure”

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- Extract chemical information from documents
  - Names: powered by the Naming Technology
  - Also import SMILES, InChI, CAS number ...
  - Images: OSRA, ...
  - Works with **scanned non-searchable PDF**
  - Returns structures and their **location** in the document



# ChemAxon's “Document to Structure”

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- Supported formats:
  - MS Office document: doc, docx, ppt, pptx, xls, xlsx, odt ...
  - Embedded structure objects (ChemDraw, Symyx, Marvin, ...)
  - PDF, text, XML, HTML

# ChemAxon's “Document to Database”

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- Data in DB:
  - Structures
  - Source (name, smiles, embedded, ...) and location
  - Documents, Authors, Metadata...
- Questions:
  - What structures appear in a specific document?
  - What documents contain a structure/substructure/...?
  - What documents written since 2010 in location X contain substructure S?
  - ...